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Federal Deposit Insurance Corporation  
**LARGE-BANK DEPOSIT INSURANCE DETERMINATION MODERNIZATION  
ANNUAL QUESTIONNAIRE**

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**INSTRUCTIONS:** Pursuant to the FDIC [Large-Bank Deposit Insurance Determination Modernization rule \(12 C.F.R. §360.9\)](#), you are required to complete an annual questionnaire. Fill out this form and return to the FDIC, no later than March 31st.

Questionnaire Year: \_\_\_\_\_ NOTE: Covers January 1<sup>st</sup> - December 31<sup>st</sup> of the previous year.

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**SECTION I - INSTITUTION INFORMATION**

1. Case Number	2. Covered Institution (CI) Name	3. FDIC Certificate Number
4. Address (Street, City, State, ZIP Code)		

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**SECTION II - DEPOSIT-HOUSING SYSTEM INFORMATION**

5. Enter the Software Vendor, Platform, Version, and Type of Account(s) below.

Software Vendor	Software Platform	Software Version	Type of Account(s)

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**SECTION III - QUESTIONNAIRE**

6. Do any of the deposit system platforms listed in Question 5 have an approved exemption?  Yes  No

If yes, which platforms and dates?

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7. Are all deposit-housing systems able to implement automated provisional holds and generate standardized data files from the production environment?  Yes  No

If no, explain which deposit systems are not capable?

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8. What was the results and the date of the last self-assessment?  Pass  Fail Last Self-Assessment Date:

9. Did the CI have major changes on deposit systems?  Yes  No

Comments (If Applicable)

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10. Did the CI acquire/merge with another financial institution?  Yes  No

a. If yes, did the CI convert all accounts onto existing deposit systems?  Yes  No

b. If no to 10a, explain.

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11. Did the CI introduce new deposit or sweep investment products?  Yes  No

Comments (If Applicable)

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12. Does the CI have brokered deposits?  Yes  No

If yes, name the system and number of brokers.

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13. Does the CI have Total Bank Solutions Insured Cash Sweep or IntraFi Network Deposits, formerly called Promontory Insured Cash Sweep and CDARS?  Yes  No

If yes, name the system and number of participating accounts.

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14. Does the CI have prepaid card products?  Yes  No

If yes, name the program manager and processor.

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15. Does the CI have debit card products?  Yes  No

If yes, name the program manager and processor.

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16. Does the CI partner with third-party FinTech entities that provide deposit account services exclusively online and mobile app, i.e., neo-bank?  Yes  No

If yes, name the third-party entities.

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#### SECTION IV - INSTITUTION CONTACTS

17. The final rule requires a Covered Institution to notify the FDIC of the person(s) responsible for producing the standard deposit data download and administering provisional holds, both while this functionality is being constructed and on an on-going basis. The Covered Institution is responsible for ensuring such contact information is current.

Point of Contact	Name	Title	Telephone	Email Address
Primary				
Compliance				
Information Technology				
Deposit Operations				
Financial Reporting				
Technology Service Provider				

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#### SECTION V - COVERED INSTITUTION OFFICER SIGNATURE

18. Name

19. Title

20. Signature

21. Date